

Message Text

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ACTION EB-08

INFO OCT-01 EA-12 ISO-00 DODE-00 NSAE-00 ICA-20
TRSE-00 SOE-02 DOE-15 CIAE-00 COME-00 /058 W
-----043622 170613Z /15

R 170600Z APR 78
FM AMEMBASSY TOKYO
TO SECSTATE WASHDC 7116

UNCLAS TOKYO 06600

EXCON

E.O. 11652: NA
TAGS: ESTC, JA
SUBJECT: PRE-LICENSING EXTRANCHECK - A290402

REF: STATE 068588

1. EMBASSY COULD NOT LOCATE NMB CO., LTD., NO. 7-CHOME,
KANDA OGAWA-CHO, CHIYODA-KU, TOKYO. WE BELIEVE THE
IMPORTER WANTED IS THE GINZA BRANCH OFFICE OF NMB
ELECTRONICS CORPORATION, KINJO BLDG., NO. 8-5, GINZA 7-
CHOME, CHUO-KU, TOKYO, JAPAN.

2. MR. SUGIMOTO, OF THAT OFFICE, STATED IN REPLY TO OUR
INQUIRY THAT THE 1,000,000 PCS OF LARGE SCALE INTEGRATED
CIRCUITS (LSI'S) UNDER INVESTIGATION ARE A
PRODUCT OF MOS TECHNOLOGY INC., NORRISTOWN, PENN. THESE
ARE TO BE IMPORTED BY HIS BRANCH OFFICE IN PARTIAL
SHIPMENTS AND ON A NO-DRAFT BASIS UNDER A CONTRACT WITH
APF ELECTRONIC INC., NEW YORK, N.Y., TO DELIVER MINI-
COMPUTERS USING THESE LSI'S TO APF ELECTRONICS. A
DOMESTIC DISTRIBUTOR OF PRINTING CALCULATORS, TV GAMES
ETC., IN THE U.S. SUGIMOTO'S OFFICE OBTAINED I/C NO. TKO
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78-0205-U FROM THE MITI TOKYO BUREAU IN LATE JANUARY WITH
MOS TECHNOLOGY INC., AS EXPORTER AS INSTRUCTED BY APF
ELECTRONIC. HOWEVER THEY RE-APPLIED TO MITI AND OBTAINED
I/C TKO 78-0291-U IN EARLY FEBRUARY SINCE HIS OFFICE WAS
INFORMED BY APF ELECTRONIC THAT THE AMERICAN EXPORTER HAD
BEEN CHANGED TO FALK BAKER ASSOCIATES, NUTLEY, N.J.
SUMITOMO ADDED THAT HIS OFFICE HAS NO IDEA WHY THE EXPORT

LICENSE APPLICATION WAS MADE DIRECTLY BY APF ELECTRONICS AS REFERENCE (2) INSTEAD OF FALK BAKER ASSOCIATES, WITH A WRONG NAME AND ADDRESS AS IMPORTER INSTEAD OF HIS OFFICE, AND WITH TKO 78-0205-U AS THE REFERENCE I/C INSTEAD OF TKO 78-0291-U.

3. A MITI TOKYO BUREAU TRADE SECTION OFFICIAL IN CHARGE OF ISSUANCE OF IMPORT CERTIFICATES TOLD EMBASSY THAT FOR IMPORT OF 1,000,000 PCS OF LSI'S WITH NMB ELECTRONICS CORP., GINZA BRANCH OFFICE AS IMPORTER, HIS SECTION ISSUED I/C TKO 78-0205-U ON JANUARY 26, 1978, WITH MOS TECHNOLOGY INC., AS EXPORTER, AND THEN, TKO 78-0291-U ON FEBRUARY 7, 1978 WITH FALK BAKER ASSOCIATES AS EXPORTER. THE OFFICIAL ADDED THAT HE HAD NOT REALIZED UNTIL THE EMBASSY INQUIRY THAT TWO I/C'S HAD BEEN ISSUED BY HIS SECTION FOR THE SAME IMPORT TRANSACTION. THE IMPORTER FAILED TO NOTIFY HIM THAT THEIR SECOND APPLICATION WAS TO REPLACE THE I/C ISSUED PREVIOUSLY. HE HAS REQUESTED EMBASSY TO INFORM OEA/CD THAT I/C TKO 78-0205-U IS INVALID.

4. MR. SUGIMOTO OF NMB ELECTRONICS GINZA BRANCH OFFICE ALSO STATED THAT, IN ANY CASE, SUBJECT LSI'S WILL BE INCORPORATED INTO MINI-COMPUTERS AT APF K. K., NMB ELECTRONICS CORP'S SUB-CONTRACT FACTORY LOCATED AT NO. 45, HONGO, NISHIKATA-MURA, TSUGA-GUN, TOCHIGI PREF., JAPAN, AND THAT ALL ASSEMBLED MINI-COMPUTERS IN WHICH SUBJECT LSI'S WERE INCORPORATED WILL BE DELIVERED BY HIS OFFICE TO UNCLASSIFIED

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APF ELECTRONICS FOR DISTRIBUTION IN THE U.S.

5. EMBASSY FINDINGS REVEALED THAT NMB ELECTRONICS CORP., CAPITALIZED AT 140 MILLION YEN, WAS ESTABLISHED IN 1974 AS A WHOLLY OWNED SUBSIDIARY OF NIPPON MINIATURE BEARING CO., LTD., MIYOTA-CHO, KITA SAKU-GUN, NAGANO PREF., JAPAN, A LEADING MANUFACTURER OF MINIATURE BEARINGS IN JAPAN. THE FIRM ACTS AS AN EXPORTER OF PRINTING CALCULATORS AND OTHER ELECTRONIC EQUIPMENT WITH 48 EMPLOYEES AND HAS ITS HEAD OFFICE AT THE TOKYO BRANCH OF THE PARENT FIRM IN THE YUMITA BLDG., NO. 7, OGAWA-CHO 3-CHOME, CHIYODA-KU, TOKYO, JAPAN. EMBASSY WTDR ON NMB ELECTRONICS CORP., IS NOT AVAILABLE BUT WILL BE PREPARED IF REQUESTED BY OEA/CD.

6. CONCLUSION: IN VIEW OF STATEMENT BY SUBJECT IMPORTER IN PARA 4 AND OUR FINDINGS IN PARA 5, EMBASSY SEES NO DEROGATORY FACTORS AS FAR AS STATUS OF SUBJECT IMPORTER AND DISTRIBUTION CHANNEL OF SUBJECT LSI'S ARE CONCERNED. IN VIEW OF STATEMENT BY MITI TOKYO BUREAU IN PARA 3, HOWEVER, EMBASSY FEELS THAT E/L APPLICATION SUPPORTED BY I/C TKO 78-0205-U SHOULD BE INVALIDATED. ALSO, STATEMENT BY SUBJECT IMPORTER IN PARA 2 INDICATES THAT THERE ARE

APPARENTLY DISCREPANCIES BETWEEN CONTENTS OF E/L APPLICATION MENTIONED REFTEL AND THAT OF I/C SENT BY SUBJECT IMPORTER TO APF ELECTRONICS. EMBASSY RECOMMENDS THAT ENTIRE APPLICATION BE REEXAMINED BEFORE APPROVAL.
MANSFIELD

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Message Attributes

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TAGS: ESTC, JA
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